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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **Mo-5586/TeA 33,605**
 First Inventor or Application Identifier **Hans-Ulrich Buschhaus**
 Title **WATER-BASED FORMULATIONS WITH FUNGI ...**
 Express Mail Label No. **EK243942466US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **7**]
 (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]

4. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
 FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT
 IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.38).**

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application.
 (PTO/SB/09-12) Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner _____ Group / Art Unit: _____
 of prior application No: _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name **Bayer Corporation**

Patent Department

Address **100 Bayer Road**

City **Pittsburgh** State **PA** Zip Code **15205-9741**

Country **USA** Telephone **(412) 777-2349** Fax **(412) 777-5449**

Name (Print/Type) **Richard E.L. Henderson**Registration No. (Attorney/Agent) **31,619**Signature **Richard E.L. Henderson**Date **April 19, 2000**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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 U.S.

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FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1998. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Complete if Known		
		Application Number	To be Assigned	
		Filing Date	Herewith	
		First Named Inventor	Hans-Ulrich Buschhaus	
		Examiner Name	--	
		Group/Art Unit	--	
TOTAL AMOUNT OF PAYMENT (\$)		808.00	Attorney Docket Number	Mo-5586/LeA 33,605

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number <u>13-3848</u> Deposit Account Name <u>Bayer Corporation</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the mailing of the Notice of Allowance		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath	
FEE CALCULATION		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 760 201 380 Utility filing fee		147 2,520 147 2,520 For filing a request for reexamination	
106 310 206 155 Design filing fee		112 920 112 920 Requesting publication of SIR prior to Examiner action	
107 480 207 240 Plant filing fee		113 1,840 113 1,840 Requesting publication of SIR after Examiner action	
108 760 208 380 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional Filing Fee		116 380 216 190 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 870 217 435 Extension for reply within third month	
808.00		118 1,360 218 680 Extension for reply within fourth month	
2. EXTRA CLAIM FEES		128 1,850 228 925 Extension for reply within fifth month	
Total Claims <u>10</u> - 20** = <u>---</u> X <u>---</u> = <u>---</u>		119 300 219 150 Notice of Appeal	
Independent Claims <u>4</u> - 3** = <u>1</u> X <u>78.00</u> = <u>78.00</u>		120 300 220 150 Filing a brief in support of an appeal	
Multiple Dependent <u>---</u> = <u>---</u>		121 260 221 130 Request for oral hearing	
** or number previously paid, if greater, For Reissues, see below		138 1,510 138 1,510 Petition to Institute a public use proceeding	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		140 110 240 55 Petition to revive - unavoidable	
103 18 203 9 Claims in excess of 20		141 1,210 241 605 Petition to revive - unintentional	
102 78 202 39 Independent claims in excess of 3		142 1,210 242 605 Utility Issue fee (or reissue)	
104 260 204 130 Multiple dependent claim, if not paid		143 430 243 215 Design Issue fee	
109 78 209 39 ** Reissue independent claims over original patent		144 580 244 290 Plant Issue fee	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		122 130 122 130 Petitions to the Commissioner	
SUBTOTAL (2) (\$)		123 50 123 50 Petitions related to provisional application	
78.00		126 240 126 240 Submission of Information Disclosure Stmt.	
		581 40 581 40 Recording each patent Assignment per property (times number of property)	
		146 760 246 380 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
		Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	
		40.00	

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Richard E.L. Henderson	Reg. No.	31,619
Signature	<i>Richard E.L. Henderson</i>	Deposit Account User ID	
Date	April 19, 2000		